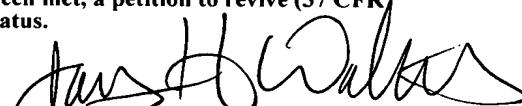


FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				A-3
INTERNATIONAL APPLICATION NO. PCT/JP00/06038	INTERNATIONAL FILING DATE 6 September 2000	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/830598		
		PRIORITY DATE CLAIMED 9 September 1999		
TITLE OF INVENTION HALFTONE PHASE SHIFT PHOTOMASK, AND HALFTONE PHASE SHIFT PHOTOMASK BLANK FOR THE FABRICATION OF THE SAME				
APPLICANT(S) FOR DO/EO/US Junji FUJIKAWA et al				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 				
Items 13 to 20 below concern document(s) or information included:				
<ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 23. <input checked="" type="checkbox"/> Other items or information: 				
Inventor Information Sheet (Patent Bibliographical Data) Copy of Form PCT/IB/308 Form PTO-1449 and copies of documents cited thereon with 2nd copy of International Preliminary Examination Report Letter				

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/83059	INTERNATIONAL APPLICATION NO. PCT/JP00/06038	ATTORNEY'S DOCKET NUMBER A-398																																								
24. The following fees are submitted:- <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;"><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO</td> <td style="width: 20%; text-align: right; padding: 2px;">\$1000.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO</td> <td style="text-align: right; padding: 2px;">\$860.00</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td style="text-align: right; padding: 2px;">\$710.00</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)</td> <td style="text-align: right; padding: 2px;">\$690.00</td> </tr> <tr> <td><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)</td> <td style="text-align: right; padding: 2px;">\$100.00</td> </tr> </table> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">\$860.00</td> <td style="width: 20%; text-align: right; padding: 2px;">\$860.00</td> </tr> </table> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">\$0.00</td> <td style="width: 20%; text-align: right; padding: 2px;">\$0.00</td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>42 - 20 =</td> <td>22</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>x \$80.00</td> </tr> </tbody> </table> <p>Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/> \$270.00</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="width: 20%; text-align: right; padding: 2px;">\$1,526.00</td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2. \$0.00</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">SUBTOTAL =</td> <td style="width: 20%; text-align: right; padding: 2px;">\$1,526.00</td> </tr> </table> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">TOTAL NATIONAL FEE =</td> <td style="width: 20%; text-align: right; padding: 2px;">\$1,526.00</td> </tr> </table> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/> \$40.00</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">TOTAL FEES ENCLOSED =</td> <td style="width: 20%; text-align: right; padding: 2px;">\$1,566.00</td> </tr> </table> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"></td> <td style="width: 20%; text-align: right;">Amount to be:</td> </tr> <tr> <td></td> <td style="text-align: right;">refunded \$</td> </tr> <tr> <td></td> <td style="text-align: right;">charged \$</td> </tr> </table>			<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1000.00	<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$860.00	<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$710.00	<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$690.00	<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00	\$860.00	\$860.00	\$0.00	\$0.00	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	42 - 20 =	22	x \$18.00	Independent claims	2 - 3 =	0	x \$80.00	TOTAL OF ABOVE CALCULATIONS =	\$1,526.00	SUBTOTAL =	\$1,526.00	TOTAL NATIONAL FEE =	\$1,526.00	TOTAL FEES ENCLOSED =	\$1,566.00		Amount to be:		refunded \$		charged \$
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a. <input checked="" type="checkbox"/> A check in the amount of \$1,566.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____ A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																										
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> James H. Walters, Reg. No. 35,731 802 DELLETT AND WALTERS 310 S.W. Fourth Avenue, Suite 1101 Portland, Oregon 97204 US (503) 224-0115 </div> <div style="text-align: right; margin-bottom: 10px;">  SIGNATURE </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>James H. Walters</p> <p>NAME</p> <p>35,731</p> <p>REGISTRATION NUMBER</p> <p>April 27, 2001</p> <p>DATE</p> </div> </div>																																										

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.1)

Applicant(s): Junji FUJIKAWA et al

Docket No.

A-398

Serial No.
PCT/JP00/06038Filing Date
September 6, 2000

Examiner

Group Art Unit

Invention:

HALFTONE PHASE SHIFT PHOTOMASK, AND HALFTONE PHASE SHIFT PHOTOMASK BLANK FOR THE FABRICATION OF THE SAME

I hereby certify that the following correspondence:

Entry to National Phase Transmittal (& documents & fees listed as enclosed)*(Identify type of correspondence)*is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 onApril 27 2001
*(Date)***James H. Walters***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EL719795338US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**